



# SWISS FINANCE CORPORATION LIMITED

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## REGULATION

Swiss Finance Corporation Limited (SFC) is authorised and regulated by the Financial Conduct Authority (FCA). For more details on our regulatory status please visit the [FCA website](#), using Swiss Finance Corporation company reference number: 142903, or company name: Swiss Finance Corporation.

### **About the FCA**

The FCA is one of two independent bodies that regulate the financial services industry in the United Kingdom. SFC is solely regulated by the FCA in respect of its conduct and prudential obligations.

The FCA has been the regulator for financial services in the UK since 1 April 2013, when it was given statutory powers by the Financial Services Act 2012 replacing the jurisdiction of the previous single regulator, the Financial Services Authority.

The FCA follows three statutory objectives:

1. Protect consumers
2. Enhance the integrity of the UK financial system
3. Help maintain competitive markets and promote effective competition in the interests of consumers

You can visit the Financial Conduct Authority website to learn more about the regulatory environment in the United Kingdom and what it means for your protection and consumer rights

### **Money Laundering Regulation**

In the United Kingdom, Swiss Finance Corporation is obligated to adhere to the Money Laundering Regulations 2007, and the Proceeds of Crime Act 2002

### **What is the Financial Services Compensation Scheme?**

The [Financial Services Compensation Scheme](#) (FSCS) is the UK's statutory compensator of last resort for customers of financial services companies.

In the unlikely event that SFC is unable to meet its financial obligations, you can submit a claim to the FSCS.

To be eligible for compensation you must have lost money because of your dealings with a regulated financial services firm. FSCS can pay compensation only for financial loss.

The FSCS rules which are made for them by the [Financial Conduct Authority](#) (FCA) tell the FSCS which types of claim qualify for compensation, and limit how much compensation they are allowed to pay.

Different rules and limits apply to different types of claims. The rules for FSCS form part of the FCA's handbook.

This is available on their website at [fca.org.uk](http://fca.org.uk).