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ANTI MONEY LAUNDERING POLICY STATEMENT

It is the policy of Swiss Finance Corporation and hereinafter referred to as “SFC” to undertake due care and diligence to ensure that it conducts its business only with reputable clients involved in lawful activities undertaken in good faith, and to create an effective Anti-Money Laundering (AML) regime throughout SFC’s activities.

SFC rigorously adheres to all current money laundering and terrorist financing prevention laws and regulations (the “Regulations”).

To ensure that SFC implements and enforces the Regulations, SFC maintains a comprehensive set of AML procedures and controls (“AML Procedures”) that comply with and implement the requirements of the Regulations to enable SFC’s staff to detect any criminal activity or suspicious circumstances and so disrupt the process of money laundering and combat the financing of terrorism and comply with all directives and orders issued by HM Treasury regarding current financial sanctions regimes and freezing orders.

All clients and parties on whose behalf SFC does business are subject to identification procedures (supported by original or certified documentation and any other searches or referrals that SFC may deem to be appropriate) to establish that the customer is properly identified if an individual, and if a company or firm, that it is properly constituted, and that all the direct and ultimate shareholders or beneficiaries of the company or firm are identified as being fit and proper persons with whom to do business. Overall the AML regime requires that all the persons involved in a transaction, and the transaction itself, is lawful and undertaken in good faith.

SFC has appointed a Money Laundering Reporting Officer (MLRO) and deputies to provide the focus of all AML activities within SFC. Staff is required to record and promptly report all suspicious activities to the appointed MLRO with a view to the MLRO making a formal Suspicious Activity Report (“SAR”) to the National Crime Agency (“NCA”).

SFC’s staff are regularly trained to ensure that they are committed to the AML regime adopted by SFC and that the AML Procedures and the Regulations will be strictly upheld.

SFC regularly monitors and reviews its AML Procedures to ensure efficient implementation, and strict compliance.

SFC’s AML Procedures and controls are updated from time to time to comply with any changes to the Regulations and to ensure that best market practice is adopted.

Swiss Finance Corporation does not want your business if the funds are sourced from criminal activity or the nature of the transaction is illegal in any way.